



# **TAMILNADU TEACHERS EDUCATION UNIVERSITY**

**DOCTOR OF PHILOSOPHY (Ph.D.)**

**Regulations Effective from 1<sup>st</sup> January 2015  
(As approved By Academic Council Date: 19.11.2014)**

# TAMILNADU TEACHERS EDUCATION UNIVERSITY

## DEGREE OF DOCTOR OF PHILOSOPHY (Ph.D.) (With effect from 1<sup>st</sup> January 2015)

### 1. PREAMBLE

The Degree of Doctor of Philosophy (Ph.D.) is awarded to a candidate who, as per these regulations, has submitted a thesis on the basis of original and independent research work in Education or involving Education that makes a contribution to the advancement of knowledge in the field of Education which is approved by Board of Examiners as required.

### 2. ELIGIBILITY

“For purposes of admission to the Ph.D. programme, a candidate should have obtained any Master Degree with 55% & Master of Education (M.Ed.) degree with 55% marks of this University / M.A. Education with 55% prior to 01.01.1991 or any other University approved by Syndicate of Tamil Nadu Teachers Education University (Note: A relaxation of 5% may be provided from 55% to 50% of the marks at the Master’s Level for the SC/ST/Physically Challenged category).”

Admissions to Ph.D. programme will be made twice a year, i.e., January and July. Candidates should submit their applications on or before the first working day of January or July as the case may be. Registration for Ph.D. programme may be done throughout the year.

### 3. REGISTRATION FOR THE Ph.D. PROGRAMME

There shall be the following categories of candidates registered for the Ph.D. programme:

- a. Full-time scholar (with or without stipend/ fellowship)
- b. Part-time scholar (teacher candidate or research assistants/ fellows, internal vis-à-vis external)
- c. Research fellows / Research Assistants / Technical Assistants / Project Assistants / Training Officers in extension departments approved by the University, appointed in the research projects funded by recognized agencies/Government and working in the Departments/ affiliated Colleges of Education of this university are also eligible to register for Ph.D. in Education on a part-time basis in the same Department/ Affiliated College, provided they satisfy the eligibility criteria mentioned in Regulation 2 supra.

The candidates belonging to any of the above categories may register at University Departments or Affiliated Colleges coming under these regulations.

### 3.1. FULL-TIME

A candidate, possessing the qualifications prescribed under Regulation 2 supra is eligible to register for the full-time Ph.D. programme.

### 3.2. PART-TIME (INTERNAL)

A candidate, possessing the qualifications prescribed and falling under any of the following categories, is eligible to conduct research on a Part-time basis (i.e., can conduct research while continuing as teacher, research assistant etc.):

- “(a) The candidate seeking admission to Ph.D. (Part-time) programme should possess two years of teaching experience in the affiliated Colleges of Education. The candidates with M.Phil. Degree in Education shall be allowed to register for Ph.D. Programme with one year of experience in the affiliated Colleges of Education. The total number of working days for the Ph.D. (Part-time) Programme shall be 180 days for the entire course.
- (b) The teachers working in the affiliated Colleges of Education of Tamil Nadu Teachers Education University or any other University as recognized by Tamil Nadu Teachers Education University will be allowed to register for Ph.D. (Part-time) Programme.
- (c) The duration of Ph.D. (Part-time) Programme shall be for three years for the candidates without M.Phil. Degree. The duration of Ph.D. (Part-time) with M.Phil shall be two years in the relevant subject.

### 3.3. PART-TIME (EXTERNAL)

Notwithstanding anything contained in these regulations, candidates possessing requisite qualifications from any other University recognized by the Tamil Nadu Teacher's Education University Syndicate as equivalent thereto, prescribed under Regulation 2 supra, and employed as a teacher, scientist or in any other related capacity (as recommended by the Selection Committee of the concerned department/institution) in National/State level institutions, Universities, Research and Development (R&D) Centers and institutions outside the territorial jurisdiction of this University, in India and abroad, who are permitted by the respective organizations for pursuing research leading to the Ph.D. Degree in Education of this University on a Part-time basis, while continuing in employment, may be permitted to register for the Ph.D. programme on a Part-time basis as external candidates. These candidates are expected to do research in their place of employment and in addition, they should undergo such course work, examination and research work as may be prescribed by the supervisor/doctoral committee for a minimum of SIX MONTHS during the research period directly under the supervisor in this University. In addition to the Supervisor from this University, they shall have a joint supervisor from the institution where they are employed, provided such a supervisor is recognized as supervisor for guiding candidates leading to Ph.D. Degree in Education by this University or the host University. In the case of non-availability of a recognized supervisor in the institution, the candidate's research work shall be monitored by the Head of the Department/ Institution.

## **4. DURATION OF RESEARCH**

### **4.1. FULL-TIME**

A candidate registered as a full-time scholar for the Ph.D. programme possessing the required qualifications as mentioned in Section 3 supra shall work continuously in the Department/ Institution under a supervisor for a minimum period of THREE YEARS (both Full-time and Part-time) after provisional registration and before submission of the thesis. Those who are qualified M.Phil. in Education, the minimum period of TWO years.

### **4.2. PART-TIME (Internal and External)**

As stipulated in 4.1 supra a candidate registered for the Ph.D. programme as a part-time scholar (both internal and external) shall work for a minimum period of THREE YEARS without M.Phil. Degree and TWO YEARS with M.Phil. Degree.

### **4.3. ATTENDANCE:**

Part-time (External) 60 days per year.

Part-time (Internal) for the University faculty they have to sign in the concerned register.

### **4.4. CONVERSION OF FULL-TIME REGISTRATION INTO PART-TIME AND VICE VERSA**

Notwithstanding anything prescribed in these regulations, the University may permit conversion from Full-time research to Part-time research and vice-versa in respect of candidates registered, for valid reasons and subject to satisfying the regulations, rules and conditions in force. The period of research put in by the candidate will be worked out in the ratio of 2:3 for full time and part time (i.e. 2 years of FT = 3 years PT).

### **4.5. RESIDENTIAL REQUIREMENTS**

- a. A candidate registered on a full-time basis shall work under continuous supervision for the minimum period of research prescribed supra after the date of provisional registration and before submission of the thesis in the department/ institution.
- b. A candidate registered on a part-time (internal) basis shall work at least for TWO MONTHS in every academic year during the course of research at the institution where the supervisor is attached. The supervisor has to issue the attendance certificate to be forwarded by the Head of the Department/ Institution to the Controller of Examinations.
- c. A candidate registered for the Ph.D. programme as a part-time external candidate is expected to do research in his/her place of employment and in addition he/she should undergo such course work, examination and research work as may be prescribed by the University/Supervisor/Doctoral Committee for a minimum period of SIX MONTHS during the research period directly under the supervisor in this University.
- d. Provided, in all the above cases (a), (b) and (c) the research work shall be monitored by the Doctoral Committee hereinafter prescribed, through reports ONCE IN SIX MONTHS submitted by both Full-time students and part-time students in the prescribed Proforma (Appendix B).

## **5. APPLICATION FOR PROVISIONAL REGISTRATION**

A candidate applying for provisional registration shall furnish all the information *inter alia* in the form prescribed together with the fee prescribed.

A candidate who satisfies all the conditions and procedures prescribed shall, after approval by the University, be permitted to register provisionally for the Ph.D. programme.

Registration for the Ph.D. programme must be done by the candidate within TWO months after getting the permission from the University. A delay by SIX months for registration can be accepted with a penal fee of Rs.1000/- and reasons for the delay must be in the form of requisition. Also the delay in registration can be accepted up to ONE year with a penal fee of Rs.2000/- and the reasons for the late registration must be substantiated. Even after ONE year of the date of permission, if the candidate fails to apply for formal registration he/she shall not be allowed to pursue the research.

## **6. RESEARCH INSTITUTIONS**

A candidate may be permitted to pursue research leading to the award of the Ph.D. degree in any one of the following institutions subject to satisfying conditions of eligibility, availability of supervisor and necessary facilities.

- a. The departments of study and research of the University and Endowment Chairs in the University.
- b. Postgraduate departments of colleges affiliated to the University and recognized as having necessary facilities for carrying out research leading to the award of the Ph.D. degree in Education by the due University procedures, provided that the affiliated college should have been offering the Postgraduate course in Education (M.Ed.) for a minimum period of THREE YEARS.
- c. The Syndicate may permit candidates who are working as teachers or in other related capacity in an affiliated college whether recognized for research leading to the award of the Ph.D. degree or not to register for the Ph.D. programme under a supervisor in any of the institutions, recognized for research leading to Ph.D., within the territorial jurisdiction of the University and approved by the University for the purpose.
- d. Teacher and Non-Teacher candidates can register for the Ph.D. programme with a qualified supervisor in any of the affiliated colleges.

## **7. SUPERVISOR FOR RESEARCH**

Every scholar registered for the Ph.D. programme shall work under the continuous supervision of a recognized supervisor.

A supervisor shall not guide his/her immediate or close relative and to this effect he/she shall furnish a declaration in the column provided in the application form for admission.

## 7.1. QUALIFICATION AND RECOGNITION

7.1.1. A person who is in permanent employment may be recognized as a supervisor for guiding students leading to the award of the Ph.D. degree in Education provided he/she possesses the following:

- a. A Ph.D. degree in Education of this University or of any other University recognized by the Syndicate as equivalent thereto.
- b. A minimum of TWO YEARS of either teaching or research experience after acquiring the Ph.D. degree.
- c. A minimum of TWO research publications other than his/her Ph.D. work and after the award of Ph.D. Degree which is published in National/International refereed journals.

7.1.2. Emeritus Fellows/Professors of the University Departments or affiliated institutions recognized for research by the University who are funded by UGC/CSIR/ICAR and other Governmental/Non-Governmental funding agencies could be permitted to guide Ph.D. students provided:

- a. They may be allowed to guide Ph.D. scholars till they hold the above said positions or they complete the age of 70 years. However, they shall not be allowed to register candidates beyond 65 years.
- b. The maximum permissible number of candidates to be registered for the Ph.D. programme is only FOUR.

7.1.3. Emeritus Professors/Honorary Professors conferred by the University in the University Departments may be permitted to guide Ph.D. scholars provided:

- a. The request is made to the University with the consent of the Head of the Department where he/she would register the candidates for the Ph.D. programme.
- b. They are allowed to guide Ph.D. students till they complete the age of 70 years.
- c. The maximum permissible number of candidates to be registered for the Ph.D. programme is only FOUR.

7.1.5. Teachers who are appointed in this University Department or in Affiliated Colleges of this University or in the recognized research institutions of this University for the first time and have obtained recognition from the University/College where they worked earlier may be recognized as the Ph.D. guides of this University subject to the approval of the Board of Research Studies of this University to guide Ph.D.

7.1.6. Qualified teachers working in Universities / Research institutions who enter into MoU with this University, guideship / co-guideship as per rules in force from time-to-time will also be considered for Ph.D.

7.2. Teachers of this University Departments/affiliating Colleges/Institutions should get prior written permission from this University to serve as Research guides/ Supervisors of other Universities.

### 7.3. NUMBER OF CANDIDATES UNDER A SUPERVISOR

The Maximum number of candidates to be allotted to the Principal, Associate Professor and Assistant Professor for supervising candidates to Ph.D. Programme shall be 8, 6 and 4 respectively.

### 7.4. CHANGE OF SUPERVISORS AND TRANSFER OF SCHOLARS

- a. Transfer of Ph.D. scholars from one supervisor to another supervisor can be effected, with mutual willingness given by both the present and new supervisors.
- b. In the case of change of supervisor or transfer of candidates is proposed without the consent of any one of the parties concerned, the matter shall be referred to the Board of Research Studies, whose decision shall be the final.
- c. The supervisors who wish to avail leave/lien/deputation beyond a period of SIX MONTHS shall nominate co-guides in the concerned subject for the candidates registered with them and the fact intimated to the University in advance.

## 8. CANCELLATION OF THE Ph.D. REGISTRATION

- a. In case of candidates who do not possess an M.Phil. degree, who have not taken Part I course work and examination and the minutes of the meeting of the Doctoral Committee for them are not forwarded to the University for confirmation of provisional registration on completion of ONE year of provisional registration, their registration shall be cancelled by the University by the 18<sup>th</sup> month of the date of provisional registration.
- b. In case of recommendation for cancellation of the registration by the supervisor, the candidate shall be intimated about the grounds on which the registration is being proposed for cancellation.
- c. In case of any representation from the candidate/supervisor, the Head of the Institution after consulting the Supervisor and the candidate shall refer the matter to the Board of Research Studies, which may either suggest cancellation or change of supervisor depending on the merit of the case. The decision of the Board of Research Studies shall be the final.

## 9. DOCTORAL COMMITTEE

For every candidate [full-time, part-time (internal and external)] registered for the Ph.D. programme, a Doctoral Committee of not less than THREE members of the faculty who are recognized supervisors, shall be constituted with the approval of the University as follows:

In respect of candidates registered for the degree under a supervisor, either as Full-time or Part-time (internal and external), the Doctoral Committee shall consist of the supervisor as its convener, the Head of the Department/Institution concerned, provided he/she is a recognized supervisor, and one other member from institutions in the neighborhood, who is an expert in the subject.

The Doctoral Committee shall have the functions as prescribed in Appendix A. Both full time and part time candidates shall submit Progress Reports in the prescribed form (Appendix B) ONCE IN SIX MONTHS and the same should be maintained by the supervisor and the department/ institution concerned and shall be submitted at the time of submission of synopsis or extension of time after the maximum period is over, whichever is earlier.

## **10. EXAMINATION AND EVALUATION**

### **10.1.1. PART-I: COURSE WORK, EXAMINATION AND EVALUATION**

Every candidate provisionally registered for the Ph.D. programme shall undergo a course work in the first year. The course work consists of the following:

- Paper I : Research Methodology
- Paper II : An advanced paper in the subject concerned
- Paper III : Background Paper relating to his/her Ph.D. work.

The syllabus for Paper-I will be framed by the Board of Research Studies. The syllabi for the Papers II & III will be framed by the Doctoral Committee and approved by the Board of Research Studies.

### **10.1.2. SCHEME OF EXAMINATIONS:**

- (a) The Doctoral Committee will conduct the written examinations for all the three papers, each of three hours duration carrying 100 marks each and also the Viva-Voce. The results will be communicated by the Supervisor to the Chairman, Board of Research Studies with the answer scripts along with the Minutes of the Meeting of the Doctoral Committee.
- (b) On the basis of these examinations, provisional registration of the candidate will be confirmed by the University. He/She shall be permitted to proceed with his/her research work and submit the thesis.
- (c) Provided that the candidates possessing M.Phil. degree in Education in the same field of research are eligible for exemption from written examinations of all the three papers. If the M.Phil. degree in Education is not in the same field of research, they are exempted only from Paper-I and shall appear for written examinations in Paper-II and III.
- (d) The candidate should give seminars periodically after the confirmation of registration, in the general field and in the topics related to his/her research work.
- (e) The Doctoral Committee will periodically monitor the progress of the work of the candidate and the report (Six monthly) may be sent to the University without fail.
- (f) The Research Methodology and Area Specialization Examination for Ph.D. Programme will be conducted by the University for the maximum of 100 marks each. The Research Supervisor will be responsible for the preparation of syllabus for Area Paper. The Research Supervisor (Internal Examiner) and External Examiners (Five names to be suggested by the Research Supervisor out of which one examiner will be appointed by the University) are responsible for preparation of the Question Paper and evaluation.

## 10.2. PART-II: SUBMISSION OF SYNOPSIS AND THESIS

### 10.2.1. SUBMISSION OF SYNOPSIS

Not less than THREE months before the submission of the thesis, every candidate shall submit to the University, through the Supervisor or the Convener of the Doctoral Committee, wherever pertinent, a Synopsis (SIX COPIES) of the proposed thesis together with the certificate of the doctoral committee vide (Appendix-A), and stating the exact title of the thesis to be presented in the prescribed application form along with the prescribed fee. The candidate shall inform the probable date of submission of his/her thesis in the application. The synopsis shall be submitted both in the form of hard and soft copy in CD. The hard copy should not exceed 20 type-written or printed pages (one side only of A4 size).

- (a) Before the submission of the synopsis, the candidate should have published at least two research papers related to his/her thesis in any of the leading National/Internationally accredited/ refereed journals as the first author.
- (b) Have presented his/her data for Ph.D. Thesis to the Doctoral Committee and the Doctoral Committee should certify the papers published and the data presented as original and relevant, while forwarding the synopsis.

Not later than SIX MONTHS after the submission of the synopsis and after the expiry of the minimum period of research prescribed, every candidate shall submit prescribed application and FIVE COPIES of thesis embodying the results of the research carried out by him/her along with the prescribed application and fee. In addition, the thesis shall also be submitted in the form of soft copy in CD.

### 10.2.2. SUBMISSION OF THESIS:

The title page of the thesis, cover, format, etc., should strictly conform to the format as prescribed in Appendix-C and the thesis (all copies) should carry a declaration by the candidate (Appendix-D) and certificate (Appendix-D1) duly signed and issued by the Supervisor. Out of the five copies of the thesis one copy should be hard bound and the remaining four should have a thin and flexible cover (not spiraled).

No candidate shall ordinarily be permitted to submit the thesis after a period of FIVE YEARS in the case of Full-time research scholars and SIX YEARS in the case of Part-time research scholars; provided that the University may for valid reasons and on the recommendations of his/her supervisor, grant extension of time for not more than TWO YEARS in all, to the candidates. The registration of a candidate, who is not able to submit his/her thesis even after the grant of extension of TWO YEARS, stands cancelled.

The Ph.D. Thesis/Synopsis may generally be written in English only.

### 10.2.3. SUBMISSION OF THESIS BEFORE THE MINIMUM PRESCRIBED PERIOD

Notwithstanding anything contained in these regulations regarding the minimum period of research to be put in by candidates before becoming eligible to submit their theses for the degree, it shall be competent for the Syndicate, to permit candidates to submit their

theses earlier by a period of not exceeding six months provided such requests for earlier submission from candidates is accompanied by.

- a. The recommendations of the supervisor for relaxation based on the satisfactory completion of the research work for the thesis topic with evidence that the candidate has been working consistently even prior to his/her provisional registration for the Ph.D. degree, on the topic of his/her research; and
- b. Evidence of having completed the required work for the thesis by way of publication of at least two research articles related to his/her thesis in any of the leading National/Internationally accredited/ refereed journals as the first author after one year of his/her provisional registration and prior to the submission of the synopsis.

### 10.3. RE-REGISTRATION

A candidate, who has not submitted the thesis even at the end of SEVENTH YEAR, may choose to re-register under the same supervisor in the same topic with the prescribed fees in continuation of the date of expiry of the maximum period as prescribed. In such instances, the re-registered candidate shall be permitted to submit his/her thesis after a period of ONE YEAR but not later than TWO YEARS.

For re-registered candidates with change of supervisor and/or topic of the thesis, the required period would be similar to that of freshly registered candidates.

### 10.4. ADJUDICATION OF THE Ph.D. THESIS

#### 10.4.1. PANEL OF EXAMINERS

The eligibility should be a minimum of 10 years' experience for those who are foreign origin/Indian origin experts in the field of Education.

The eligibility of Indian examiners should be in the cadre of Professor or Associate Professor.

The guide should submit confidentially 5 Indian and 5 foreign examiners as adjudicators with curriculum vitae for evaluation of thesis.

#### 10.4.2. BOARD OF EXAMINERS

The Vice-Chancellor may appoint a Board of Examiners for valuation of the thesis consisting of the Supervisor as Convener and two other external examiners i.e., one from within India and one from outside India, from the panel suggested by the Supervisor.

Provided further that no close or immediate relative of the candidate/supervisor be appointed to act as an examiner.

#### 10.4.3. EVALUATION OF THE THESIS

The Board of Examiners so appointed shall value the thesis and report on the merit of the candidate for the award of the Ph.D. degree. Each examiner is expected to give a detailed report on the thesis apart from a proforma for adjudication in the format prescribed in Appendix-E.

The Board of Examiners shall report on the merit of the thesis as “Highly Commended”, “Commended”, “Not Commended” or “To be Resubmitted”.

The two external examiners shall send the individual reports together with the proforma to the convener who will forward the same to the University together with his/her individual report and the proforma. Also, the supervisor as the convener of the Board will send a consolidated report bringing out the salient points made in the individual reports of three examiners.

If all the three examiners unanimously recommend for the award of the degree, the candidate will be asked to appear for a public Viva-Voce examination.

In addition, the candidate should carry out the corrections etc., if any, suggested by the examiners, before the public viva-voce examination. The supervisor shall furnish a certificate to this effect, together with the list of corrections carried out, to the University before the public viva-voce examination.

If one of the external examiners recommends for the award of the degree and other does not, the Vice-Chancellor may refer the thesis to a fourth examiner, from among the panel submitted already, so appointed shall belong to the same category (i.e., from India or outside India) as the original examiner who valued and not recommended the thesis.

The fourth examiner will not be provided with the report of the other examiners. If the fourth examiner recommends for the award of the degree, the candidate will be asked to appear for a public viva-voce examination as prescribed earlier. If the fourth examiner also does not recommend for the award of the degree, the degree will not be awarded to the candidate.

Provided that any examiner has in his/her report made some comments and suggested corrections/modifications/alterations while not recommending the thesis and asking the candidate to resubmit the thesis, the candidate will be informed accordingly through the Supervisor.

#### 10.4.4 RESUBMISSION OF THE THESIS

A candidate whose thesis has not been commended for the award of the degree may be permitted to re-submit it on a second occasion within a period of ONE YEAR from the date of declaration of the results with a specific statement from the candidate and the supervisor about the additional research work conducted and the revision done in the thesis. The resubmitted thesis shall be referred to the same examiner who originally valued the thesis for re-valuation.

#### 10.5 PUBLIC VIVA-VOCE EXAMINATION

A candidate whose thesis has been recommended for the award of the degree by the Board of Examiners who valued the thesis, shall submit himself/herself to a public viva-voce examination conducted by the supervisor and one external examiner appointed by the Vice-Chancellor in the department/institution where the research work was carried out. The Indian examiner, who valued the thesis, shall, as far as possible, be appointed as external examiner to conduct the public viva-voce examination to the candidate. A copy of the thesis of the

candidate appearing for the public viva-voce examination shall be deposited in the departmental library for perusal of those interested in the thesis before the conduct of the public viva-voce examination, together with appropriate public notice issued by the supervisor for the purpose. Members of the department in the subject concerned where the candidate carried out research and outside specialists, if any, may participate in the public viva-voce examination. The supervisor shall convey to the university, the result of such public viva-voce examination duly endorsed by the external examiner, together with a list of participants in the viva voce examination with their signature, designation and address. A candidate who is also successful at the public viva-voce examination shall be declared to have qualified for the Ph. D degree by the Vice-Chancellor and the ratification of the Syndicate be obtained for the same.

If, for any reason, the supervisor is unable to conduct the public viva-voce examination the Vice-Chancellor may appoint a suitable examiner, in his/her place as the convener of the viva voce examination.

If, for any reason, the supervisor is unable to conduct the viva-voce examination even after two months of the approval of the consolidated report on the Ph.D. thesis by the university and after appointment of the viva-voce examiner, the Vice-Chancellor may make alternative arrangements for the conduct of the viva-voce examination in time.

A candidate, who is not successful at the public viva voce examination, may be permitted to take the same on a second occasion, after the expiry of THREE months. If he/she is not successful even on the second occasion at the public Viva-voce examination, the degree will not be awarded to the candidate.

No candidate shall be permitted to submit a thesis or to appear for the public Viva-voce examination on more than TWO occasions.

#### 10.6. FORMAT OF THE DEGREE

The Ph.D. degree certificate shall incorporate the title of the thesis along with the name of the Faculty and Discipline through which the Degree is conferred (Appendix F).

### 11. PUBLICATION OF THE THESIS

A thesis, whether approved or not, shall not be published in full length without the written permission of the syndicate and the syndicate may grant permission for the publication under such conditions as it may impose.

Provided that a candidate may during the course of his/her research, publish papers in accredited/ refereed research journals, as advised by his/her supervisor, but the thesis as a whole shall not be published without obtaining written permission of the syndicate mentioned supra.

Permission for publication of the thesis should be obtained within FIVE years of the award of the degree.

## APPENDIX-A

### FUNCTIONS OF THE DOCTORAL COMMITTEE

1. To discuss, advise and recommend on all matters connected with the candidate's research from provisional registration till the submission of the thesis.
2. To suggest courses to be undertaken by the candidate during the first year of his/her provisional registration, in the light of his/her attainment and with a view to fulfilling the requirements of the research.

Such courses of instruction may be given as short-term courses lasting from three to four months in such subjects as may be chosen by the Doctoral Committee and through seminars, discussions, occasional lecturers, laboratory techniques, field work, etc.

3. To conduct the Part I course work and examination for the candidate by written and oral examinations, on the completion of such courses, at the end of the first year of provisional registration and to report to the University on the fitness or otherwise of the candidate to proceed with his/her research work for the Ph.D. and recommending the confirmation of the provisional registration.

In case where a candidate is not approved at the end of the FIRST year by the Doctoral Committee/ University, it may recommend that the candidate should undertake additional course work /examination after a further period of not exceeding SIX MONTHS at the end of which he/she shall be examined again; and if found fit, his/her provisional registration will be confirmed and he/she will be permitted to proceed with his/her research work. A Candidate who is not found fit even after the additional course and re-examination, shall not be permitted to continue research and his/her provisional registration shall be cancelled.

4. To monitor the candidate's work periodically by directing him/her [a] to give periodical seminars on his/her work ; [b] to submit reports once in six months positively both in the case of full time and part-time candidates to the University on the candidates progress in research work in the prescribed format (Appendix-B) ; [c] to conduct and supervise the work of the candidate and to approve the final draft of his/her proposed thesis before the submission of synopsis of the thesis to the University and to give a certificate to this effect to be submitted along with the synopsis.
5. To suggest a panel of nine names (three from International; 3 from national (East, West and North) and three from southern States of India) to be considered for appointment as examiners by the University, for evaluating the thesis and for the public viva –voce examination, taking special care to see that none of the names so suggested is an immediate relative of the candidate / supervisor.

Provided that persons suggested for appointment as examiners should hold Ph.D. degree with teaching and / or research experience for at least 10 years at the post-graduate level with research publications in standard referred research journals, national and international to their credit.

## APPENDIX – B

### PROGRESS REPORT OF THE PH.D. PROGRAMME

[To be submitted once in six months by both full-time candidates and part-time candidates]

- i. The progress reports shall be submitted by the candidate in quadruplicate, to the Supervisor accompanied by a report by the candidate about the work carried out during the period of this report (in about 300 words) duly signed by the candidate and countersigned by the Supervisor.
- ii. The Supervisor shall fill his/her part, put his signature and get it countersigned by the Head of the Department/Institution of the Supervisor.
- iii. The first copy to be retained by the Supervisor for placing before the Doctoral Committee and subsequent office record, second copy shall be sent to the Registrar through the Head of the Department/ Institution, the third copy shall be sent to the parent institution wherever applicable and the fourth copy to the scholar.

#### 1. Particulars about the candidate

[a] Name :

[b] Designation  
(Where applicable) :

[c] Institution where employed  
(if applicable) :

[d] Period of the Report :

#### 2. Registration Details

[a] Category of Registration : Full-time / Part-time (Internal) /  
Part-time (external)

[b] Date of provisional registration  
With University reference No.  
and date :

[c] Whether the provisional registration  
has been confirmed  
(If yes, give reference) : Yes / No

#### 3. Particulars of the Supervisor

##### **Supervisor's**

[a] Name :

[b] Designation :

[c] Institution(s) where employed :

4. Name of Department / Institution  
Where research is conducted :

5. Area of work and tentative title of  
the proposed thesis :

6. Details of the progress :
- [a] Whether the candidate's report  
In triplicate is enclosed? : Yes / No
  - [b] Whether papers have been  
Published?  
(if yes, furnish details) : Yes / No
  - [c] Whether seminars/conference  
attended? : Yes / No
  - [d] Whether the prescribed  
course work have been completed?  
If yes, furnish details : Yes / No

7. Whether the tuition fee have been paid  
for the years? : Yes / No

8. Whether the registration fee has been  
paid? : Yes / No

Date: \_\_\_\_\_ Signature of the Candidate

9. Remarks of the supervisor.
- [a] Attendance : Satisfactory / Not Satisfactory
  - [b] Progress : Satisfactory / Not Satisfactory
  - [c] Expected time of completion :

10. Whether the Supervisor agrees with the  
Scholar's report? If yes, give details) :

Date: \_\_\_\_\_ Signature of the Supervisor

Place: \_\_\_\_\_ Signature of the HOD

Seal \_\_\_\_\_ Signature of the Head of the Institution.

**APPENDIX – C**

**Model for Cover and Title of the Ph.D. Thesis**

**TITLE OF THE THESIS**

**Thesis submitted to the  
Tamil Nadu Teacher's Education University  
for the award  
Degree of Doctor of Philosophy (Ph.D.) in Education**

**By  
(Name of the Candidate)**

**Under the Guidance and Supervision of  
(Name of the Teacher who guided the thesis)**

**(Name of the Department/ Institution)  
(Name of the Place)  
(Month and Year)**

**APPENDIX – D**

**DECLARATION**

I declare that the thesis entitled \_\_\_\_\_  
\_\_\_\_\_ submitted by me for the degree of Doctor of Philosophy (Ph.D.) is the record of work carried out by me during the period from \_\_\_\_\_ to \_\_\_\_\_ under the guidance of \_\_\_\_\_ and has not formed the basis for the award of any Degree, Diploma, Associateship, Fellowship, Titles in this University or any other University or other similar institution of Higher Learning.

Signature of the Candidate

**APPENDIX – D1**

**CERTIFICATE FROM THE SUPERVISOR**

I certify that the thesis entitled \_\_\_\_\_ submitted for the degree of Doctor of Philosophy (Ph.D.) by Mr./Mrs. \_\_\_\_\_ is the record of research work carried out by him/her during the period from \_\_\_\_\_ to \_\_\_\_\_ under my guidance and supervision, and that this work has not formed the basis for the award of any Degree, Diploma, Associateship, Fellowship or other Titles in this University or any other University or institution of Higher Learning.

Signature of the supervisor  
with designation

## APPENDIX- E

### PROFORMA FOR ADJUDICATION OF THE PH.D. THESIS

1. Name of the Candidate :
2. Title of the Thesis :
3. Discipline and Subject :
4. Name and Address of the Examiner :
5. Recommendations of the Examiner  
(Please strike out whichever are not applicable) :
  - [a] Thesis is highly commended :
  - or
  - [b] Thesis is commended
  - or
  - [c] Thesis is commended and the degree may be awarded subject to the candidate's furnishing satisfactory clarification to my queries during the Public Viva Voce examination.
  - or
  - [d] Thesis is commended and the degree may be awarded subject to the condition that the corrections / modification suggested by me are carried out in the thesis and duly certified by the supervisor – convener before the public viva-voce examination.
  - or
  - [e] Thesis needs to be resubmitted after revision for reevaluation.
  - or
  - [f] Thesis is not commended and the degree may not be awarded.

**Note: Please enclose your detailed report on the thesis. Also enclose a list of questions, if any, to be asked at the public viva-voce examination.**

6. Any other remarks

Place :

Date :

-----  
(Signature of the Examiner with Designation)

Address:

**APPENDIX – F**  
**TAMILNADUTEACHERSEEDUCATIONUNIVERSITY**  
**EMBLEM**

**FACULTY OF EDUCATION**

The Syndicate of Tamil Nadu Teacher’s Education University hereby makes known that (Name of the Candidate) has been admitted to the degree of Doctor of Philosophy, he/she having been certified by duly appointed examiners to be qualified to receive the same in the year ----- for the thesis entitled -----

-----

(Title of the Thesis)

(Broad field in Education)

Given under the seal of the Tamil Nadu Teacher’s Education University this -----  
----- day of -----  
-----.

Registrar

Vice-Chancellor

## Admission Procedure

The admission procedures could be completed by the Departmental Selection Committee of the University departments/ college admission committee / research institution committee, provided the following guidelines are adopted:

- a. The Departmental Selection Committee should be constituted by the Head of the Department/ Institution with two recognized guides in the department with the proposed guide (a minimum of three members should be in the committee) as convener. If only one guide is available in any department, such department should constitute the above committee with the guides available from the neighboring Department/ Institution. The minutes of the selection process duly signed by the Departmental / college admission committee shall be appended.
- b. A check list of certificates (except Transfer Certificate) verified and certified by the admission committee shall be enclosed.
- c. Every applicant for Ph.D. must be checked for his eligibility, category of Registration, vacancy condition available with the Supervisor etc.
- d. With regard to a candidate proposing to work on the contribution of living author(s), a brief research proposal for conducting Ph.D. and a self-declaration duly certified by the supervisor should be forwarded along with necessary permission obtained from the concerned author(s).
- e. Subject to the above conditions, the University shall issue provisional admission letter based on which the candidate can join the college/department in which he proposes to undergo Ph.D.
- f. Ph.D. Registration application form shall be forwarded to this office only after getting the provisional admission letter to the Ph.D. programme from this University.

### ADMISSION:

The admission should be made purely on merit basis:

- i. Master Degree with 50% & Master of Education (M.Ed.) degree with 55% marks or M.A. (Education) 55% prior to 01.01.1991 (**Note:** A relaxation of 5% may be provided from 55% to 50% of the marks at the Master's Level for the SC/ST/ Physically Challenged category).
- ii. The entrance test which will be conducted by the respective department / college / Institution shall carry a maximum of 50 marks as detailed below:

Sl.No.	Type of Examination	Maximum marks
i)	Written	40
ii)	Oral	10
<b>Total</b>		<b>50</b>

- iii. The candidate should secure at least 25 marks out of 50 marks in the entrance test (Written and Oral) to become eligible for admission.
- iv. The candidate should take the examination only in the subject for which he/she has applied for admission to the Ph.D. programme.
- v. The Head of the department / college / Institution may design the question paper for the entrance test, The question paper has to be set and valued by the respective admission committee of the departments / colleges / institutions only.

- vi. The candidate with fellowship from the UGC, CSIR etc. / Project Fellow appointed in the major research project from funding agency / and teachers working in affiliated colleges shall be exempted from appearing for entrance test.
- vii. If the candidates have passed Master's degree in grading system, they may be asked to get equivalent marks or classification for the same from the University concerned and the same may be forwarded to the office with the selected list.
- viii. The conditions for admission prescribed under the regulations in respect of the Ph.D. programme should be strictly followed. All candidates should have passed M.Ed. degree course after B.Ed. and with the general Graduate/Post graduate qualification under 10+2+3+2 / 11+1+3+2. Candidates who have passed the PG degree examination with less than 17 years of total duration of the course are not eligible for admission to the Ph.D. programme.
- ix. The Head of the Department of the University / The Principal of the College / the director of recognized research institution should insist on the production of an eligibility certificate obtained from the Registrar of this University, before granting admission to them in respect of candidates who have passed their qualifying examination from other boards / Universities.**
- x. While forwarding the admission list the following should be furnished
- The applications of the candidates who have applied for the Ph.D. programme along with the enclosures.
  - List of the candidates applied, interviewed and selected [as per the format given in the last page].
  - Minutes of the meeting of the Departmental selection Committee with signatures of all the members.
  - The check list of certificates (except Transfer certificate) verified and certified by the departmental admission committee.
  - In case of foreign candidates a research visa obtained from the respective High Commission / Embassy or through Human Resource Development, Ministry of Education, Govt. of India, New Delhi, for the period of the Ph.D. programme. (two or three years as the case may be).
- xi. The fees payable to the university should be collected from each candidate and remitted to the university after receipt of the communication regarding approval for admission to the Ph.D. programme from this University.
- xii. The following fees are payable by every research student admitted to the Departments:

Sl.No.	Description	Amount in Rs.
i.	Cultural and Youth Festival Fee (other than sports, NSS etc.) [ Entry only]	40.00
ii.	Fee for the Development of Infrastructure facilities in the University. [ Entry only]	40.00
<b>Research Fee</b>		
iii.	For Humanities Sciences (Full-Time & Part-Time) [per annum]	6000.00
iv.	Library fee [per annum]	180.00
v.	Internet fee [per annum]	500.00

In addition to the above, the following fees are also payable by every candidate who is working in Laboratories:

vi.	Caution Deposit (refundable at the time of leaving the Department after deduction the cost of breakages, if any)	1200.00
vii.	Special Laboratory fee for Chemicals [per annum]	1500.00
viii.	Special Laboratory fee for Computers (Candidates must provide for themselves the consumable for computer facility.) [per annum]	200.00

The following fees are payable by every research scholar admitted to the college/ Institution:

ix.	Cultural and Youth Festival Fee (other than sports, NSS etc.,) [Entry only]	40.00
x.	Fee for the Development of Infrastructure facilities in the University. [Entry only]	40.00
xi.	Library fee [per annum]	180.00

The ultimate responsibility of admission rests only with the Heads of the Departments of the University/ Principals of the Colleges/ Directors of the Research Institutions concerned.

If it is observed at a later stage that the admission given by the University Department/ College/ Research Institution is incorrect, the same will be cancelled by the university at any stage of the Ph.D. Programme. The Heads of the Departments of the University / Principals/ Directors should certify that the selections are made on the basis of guidelines issued by the University. Permission for Admissions should be reported to the authorities in the following formats:

i. List of Candidates applied

Sl.No.	Name of the Candidate	Community FC/BC/ MBC/ SC/ST	Qualification (10+2+3+2) / (11+1+3+2) / (OUS PG)	Marks in PG Exams	Name of the University

ii. List of Candidates interviewed

No	Name of the Candidate	Community FC/BC/ MBC/ SC/ST	Marks in PG Exams (50)	Marks in Entrance Exam			Grand Total P.G. Exam +E.E. (100)
				Written (40)	Oral (10)	Total (50)	

iii. List of Candidates selected

No	Name of the Candidate	Community FC/BC/ MBC/ SC/ST	Grand Total P.G. Exam + E.E. (100)	Rank / Selection / Waiting list

(Signature of the Members of the Selection Committee)

Specifications of requirements for according to an institution / department the recognition to conduct research leading to Ph.D. :

- i. Minimum two persons with Ph.D. qualifications in the area(s) of research by the department / institution as approved by the University.
- ii. Library facilities with adequate books, Journals, in the area of research literature / retrieval facility through CD – ROM / Internet facilities
- iii. Laboratories with equipments as required for the discipline of research for which recognition sought (specific details to be provided by the respective Boards of Studies for the discipline concerned).
- iv. Adequate working space for the research student in terms of laboratories, Study rooms, Seminar room facilities etc.
- v. Faculty research profile of the department seeking recognition along with the department / faculty contributions made in the respective fields.
- vi. Proportionate increases of hostel, canteen and student amenity facilities.
- vii. Details of existing infrastructure facilities of the department / institution.

The Tamil Nadu Teachers Education University has revised the following fee for Ph.D. programme for Full-Time / Part-Time (w.e.f. **18.02.2015**).

<b>Sl.No.</b>	<b>Description</b>	<b>Amount in Rs.</b>
1.	Ph.D. Application Cost	750.00
2.	Registration Fee	1500.00
3.	Cultural youth festival fee	40.00
4.	Fee for Development of Infrastructure	40.00
5.	For Humanities Science ( <b>per annum</b> )	Nil
6.	Library Fee	180.00
7.	Internet fee ( <b>per annum</b> )	Nil
<b>Total</b>		<b>2510.00</b>
8.	Fee for Thesis submission	
	Full-Time (including cost of application)	6500.00
	Part-Time (including cost of application)	8600.00
9.	Fee for Synopsis	
	Full-Time (including cost of application)	3500.00
	Part-Time (including cost of application)	6400.00
<b>Total</b>		<b>(FT- 10000) (PT- 15000)</b>